

JC971 U.S. PTO
09/950087

09/10/01

EXPRESS MAIL CERTIFICATE

DOCKET NO. : **D/A1322 (1508/3380)**

APPLICANT(S) : **P. Hamilton Clark, III, Joel S. Cornell, Kathy Cupo, William Kane, Patricia A. Lauria, Janice L. Malaszenko, Audrey T. Pantas, and J. Ted Potter**

TITLE : **ELECTRONIC PROJECT MANAGEMENT SYSTEM USING PROJECT PHASES**

Certificate is attached to the **Information Disclosure Statement (1 page)** and **PTO-1449 Form (2 pages)** of the above-named application.

“EXPRESS MAIL” NUMBER: **EL801322998US**

DATE OF DEPOSIT: **September 10, 2001**

I hereby certify that this paper or fee is being deposited with the United States Postal Service “Express Mail Post Office to Addressee” service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, **Box Patent Application**, Washington, D.C. 20231.

Ruth R. Smith

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Ruth R. Smith

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PATENT
Docket No.: D/A1322 (1508/3380)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants :	P. Hamilton Clark, III, Joel S. Cornell, Kathy Cupo, William Kane, Patricia A. Lauria, Janice L. Malaszenko, Audrey T. Pantas, and J. Ted Potter)	Examiner: To be assigned
Serial No. :	To be assigned)	Art Unit: To be assigned
Cnfrm. No. :	To be assigned)	
Filed :	Herewith)	
For :	ELECTRONIC PROJECT MANAGEMENT SYSTEM USING PROJECT PHASES)	

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.97-1.98**

Assistant Commissioner for Patents
Washington, D.C. 20231
Box: Patent Application

Dear Sir:

Pursuant to 37 CFR §§ 1.97-1.98, applicants hereby bring to the attention of the United States Patent and Trademark Office, the enclosed references listed on the attached PTO-1449 form.

Respectfully submitted,

Date: September 10, 2001


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Registration No. P-49,014

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use several sheets if necessary) (PTO-1449)	ATTY. DOCKET NO.	SERIAL NO.
	D/A1322 (1508/3380)	To be assigned
	APPLICANT	
	P. Hamilton Clark, III, Joel S. Cornell, Kathy Cupo, William Kane, Patricia A. Lauria, Janice L. Malaszenko, Audrey T. Pantas, and J. Ted Potter	
FILING DATE	GROUP ART UNIT	
Herewith	To be assigned	

PTO
JC971 U.S. 09/09/950087
09/10/01

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION IF APPROPRIATE

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

		1	Product Delivery Process Overview, Sixth Edition, Xerox Corporation (1989).
		2	"Time to Market Release 3.0 CORE PROCESS, Phase 3.0 Market Portfolio Strategy Vision," Xerox Corporation (1999). <input checked="" type="checkbox"/>
		3	"Time to Market Release 3.0 CORE PROCESS, Phase 3.1 TTM Proposals," Xerox Corporation (1999). <input checked="" type="checkbox"/>
		4	"Time to Market Release 3.0 CORE PROCESS, Phase 3.1 Market Attack Plan," Xerox Corporation (1999). <input checked="" type="checkbox"/>
		5	"Time to Market Release 3.0 CORE PROCESS, Phase 3.2 Define," Xerox Corporation (1999). <input checked="" type="checkbox"/>
		6	"Time to Market Release 3.0 CORE PROCESS, Phase 3.3 Design," Xerox Corporation (1999). <input checked="" type="checkbox"/>
		7	"Time to Market Release 3.0 CORE PROCESS, Phase 3.4 Demonstrate," Xerox Corporation (1999). <input checked="" type="checkbox"/>
EXAMINER			DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use several sheets if necessary) (PTO-1449)	ATTY. DOCKET NO.	SERIAL NO.
	D/A1322 (1508/3380)	To be assigned
	APPLICANT	
	P. Hamilton Clark, III, Joel S. Cornell, Kathy Cupo, James S. Hutchinson, William Kane, Patricia A. Lauria, Janice L. Malaszenko, Audrey T. Pantas, and J. Ted Potter	
FILING DATE	GROUP ART UNIT	
Herewith	To be assigned	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION IF APPROPRIATE

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

		8	"Time to Market Release 3.0 CORE PROCESS, Phase 3.5 Deliver and Delight," Xerox Corporation (1999).
		9	"TTM Core Process ... Introduction to Release 3.0," Slide Presentation, Workshop date: December 4, 1999.
		10	"Objective of the TTM Core Process Release 3.0 workshop," Slide Presentation, Workshop date: November 19, 1999.
		11	<u>Time to Market Core Process Definition Release 2.0</u> , Xerox Corporation (1997). ✓

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231
www.uspto.gov

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May 30, 2001

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John Campa
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Rochester, NY 14624

NOTICE OF RESULTS OF April 18, 2001 EXAMINATION

I am pleased to inform you that you have attained a passing grade on the examination for registration to practice before the United States Patent and Trademark Office given on April 18, 2001.

Please complete the enclosed is a Data Sheet. The Data Sheet is used in maintaining the register of patent attorneys and agents. The completed *original* Data Sheet and a \$100.00 registration fee required by 37 CFR § 1.21(a)(2) should be submitted to the United States Patent and Trademark Office, Box OED, Washington, DC 20231 within one month from the date of this letter. Failure to provide the information in a timely manner will delay processing of your application for registration. *The name printed on your certificate of registration will be as it appears in the address heading above unless you request otherwise in a separate letter attached to your Data Sheet.*

Since you have passed the examination, you are hereby given limited recognition pursuant to 37 CFR § 10.9(a) to prepare and prosecute patent applications before the United States Patent and Trademark Office. Although you have been given limited recognition, **you may not hold yourself out as a registered patent attorney or patent agent** until the Office of Enrollment and Discipline has given your application final approval. Your limited recognition may be subject to the provisions of 37 CFR § 10.10(b) and 18 U.S.C. § 207. During the period of limited recognition, your name will be published in the *Official Gazette* and information tending to affect your eligibility on moral and ethical grounds will be solicited. If information is received affecting your eligibility on moral or ethical grounds, the limited recognition will be withdrawn, and you will be given an opportunity to respond.

Your provisional registration number is P- 49,014. **You must use the provisional number until you receive a letter from the Office of Enrollment and Discipline indicating that your registration application has received final approval.** This number must appear below your signature on every paper submitted to the United States Patent and Trademark Office.

After your application has been given final approval by the Office of Enrollment and Discipline, your certificate of registration will be forwarded to you at the business address on the Data Sheet filed with this office. The date which appears on the certificate of registration will be the date of your registration.

Harry I. Moatz, Director
Office of Enrollment & Discipline